

**Aide Memoire**  
**Kenya: Development Response to Displacement Impacts Project (P161067)**  
**Implementation Support Mission**  
**June 7-23, 2023**

**I. INTRODUCTION**

1. An Implementation Support Mission (ISM) for the Kenya Development Response to Displacement Impacts Project (KDRDIP) was jointly carried out by the Government of Kenya (GoK) and the World Bank (WB) from June 7-23, 2023.<sup>1</sup> The mission was joined by representatives from the Danish International Development Agency (DANIDA). A wrap-up meeting with the National Treasury (NT) took place on July 25, 2023. The objective of the mission was to assess implementation progress and actions agreed upon since the last ISM in November 2022 and to commence preparation for the Implementation Completion and Results Report (ICR) in light of the imminent closure of the project on December 31, 2023.

2. The mission met with officials of the State Department for the Development of Arid and Semi-Arid Lands (ASALs) in the Ministry of East African Community, ASALs and Regional Development; County Government leadership in Garissa; County Integrated Project Implementation Unit (CIPIU) teams; the Project Implementation Support Team (PIST) in Garissa County, community members and project beneficiaries. A full list of participants is in Annex 6. The team visited sites in Garissa, including schools, health facilities, water systems, Labor Intensive Public Works (LIPW) sites and livelihood subprojects. The mission also visited subprojects as part of the DRDIP Regional Project Steering Committee meeting held in Turkana West from June 20-23. The WB team expresses gratitude to GoK counterparts for their support throughout the mission. This Aide Memoire outlines the findings of the mission and agreed actions.

**II. PROJECT DATA AND RATINGS**

**Table 1: Project Data and Ratings**

Board Approval	<b>April 26, 2017</b>
Effectiveness	<b>June 20, 2017</b>
Grant Closing Date	<b>December 31, 2023</b>
Credit Closing Date	<b>December 31, 2023</b>
Total funding	US\$ 100 m IDA Credit; US\$11.58 m grant from DANIDA
Amount Disbursed	IDA Credit (IDA-60210): US\$ 91.32 Million (86.64%); DANIDA grant (TF0A7762): US \$ 8.18 m (70.64%)
Project Development Objective (PDO)	To improve access to basic social services, expand economic opportunities, and enhance environmental management for communities hosting refugees in the target areas in the Recipient's territory.

<b>Project Ratings:</b>	<b>Previous</b>	<b>Current</b>
PDO	Moderately Satisfactory	Moderately Satisfactory
Implementation progress	Moderately Satisfactory	Moderately Satisfactory
Environmental and Social Standards	Moderately Satisfactory	Moderately Satisfactory
Financial Management	Moderately Satisfactory	Moderately Satisfactory
Procurement	Moderately Satisfactory	Satisfactory

<sup>1</sup> The team was led by Matthew Stephens (Senior Social Development Specialist and Task Team Leader) and comprised Annette Omolo (co-TTL/Senior Social Development Specialist), Naseer Uddin Khan (Social Protection Specialist), Peter Muhati Mukhutsi (M&E Consultant), Josephine Kamau (Senior FM Specialist), Edwin Moguche (FM Consultant), Dahir Elmi Warsame (Procurement Consultant), Margaret Auma Ombai (Social Safeguards Specialist), Farida Hassan (Social Safeguards Consultant), Diana Jemutai Sirma (Environmental Safeguards Consultant), Celestine Lyimo (Team Assistant), Tom Opiyo (Consultant Engineer) and Tom Omenda (Environment/NRM Consultant). Nancy Njenga from DANIDA joined mission meetings and field travel in Turkana West.

3. Table 2 summarizes the budget allocation and WB disbursement. Table 3 reflects expenditures incurred, project commitments against the budget, and current cash balances in the project account.

**Table 2: Budget, Disbursements Monitoring and Reporting**

Project	Original Budget (a)	Supplementary adjustments (b)	Change in Budget Authorization (a)+/-(b)=(c)	World Bank Disbursements (d)	Budget Balance (b)-(d) = (e)	Comments
KDRDIP	US\$. 22.2 m	US\$. 2.65 m	US\$ 24.85m	US\$20.11m	US\$ 4.74m	Supplementary uploaded DANIDA \$3.4m, DA balance of \$193,355 & Grant Bank balance of \$ 55,248. This adds to \$2.65m.

**Table 3: Budget, Commitments, Expenditures and Cash Balances**

Project	Revised Budget (b)	Expenditures and Commitments (f)	Budget Balance (b) - (f) = (g)	Projected Cash Balance (d) – (f)=(h)	Comments
KDRDIP	US\$ 24.85m	US\$ 19.54m	US\$ 5.31m	US\$ 0.57m	

### III. KEY FINDINGS & ISSUES

4. **Project performance is progressing since the last ISM but delays in Garissa have left the project with much to do with little time remaining until the closing date.** The project continues to record advances towards achieving the PDO and intermediate indicators and on addressing actions identified to be undertaken in the last mission. The financial management (FM) and safeguards ratings are Moderately Satisfactory. The procurement rating has been upgraded to satisfactory. There are no outstanding audits and the field mission to sampled community groups and subprojects in Garissa and Turkana noted improvements in FM at the community level,. The involvement of county technical staff has improved, especially in Garissa where they are now engaged again under the new Governor. The main agreed action from the mid-term review was to address concerns over accountability and the quality and depth of community participation through the conduct by the World Bank of an in-depth technical and fiduciary review. Fieldwork for the review has been concluded. A draft report has been submitted and is currently under review by the World Bank.

5. **Although project management has improved, at the time of the mission more than 200 subprojects were either still ongoing or yet to commence with less than six months remaining until the closing date.** Project activities had stalled in Fafi and Lagdera sub-counties due to legal cases and alleged political interference. PIU concerns about a lack of support from some political leaders, including reported threats to staff, caused some to leave Garissa County for extended periods. The PIU had felt unsafe implementing in Fafi or Lagdera, effectively suspending activities there. At the same time, some community members filed legal cases alleging interference in project processes by Members of Parliament. A subsequent court order suspended disbursement in Lagdera. The courts allowed the release of funds on June 29 and the complaints have now been withdrawn. Activities have resumed in Lagdera and Fafi, but the PIU will need to exert significant efforts to complete all subprojects on time.

6. **The conclusion of the Facilitating Partner (FP) contracts in December 2022 has not had a major impact on project implementation.** To replace the FPs, the PIU directly contracted Social Mobilizers (SMs) and Community Facilitators (CFs) in Garissa and Wajir, as had previously been done in Turkana. This arrangement has not led to a major impact on project implementation, although there were some delays in the recruitment of SMs and CFs in Fafi and Lagdera due to the political hinderances noted above. The SMs and CFs have undergone capacity building on safeguards, procurement, FM, community mobilization and reporting.

7. **The results from a midline survey and a second round of phone-based Iterative Beneficiary Monitoring (IBM) are mostly encouraging.** Midline data collected in late 2022 shows encouraging results with respect to access to services and social impacts in terms of host community attitudes towards refugees. Endline data will be collected in July-August 2023. Results from a second round of IBM of 649 beneficiaries were also encouraging, with a majority of respondents reporting positive sentiments about how project activities are run, and that they are invited to participate in project-related community meetings. More beneficiaries also reported awareness of the complaints mechanism as compared to the first round of the survey. There was, however, some expression of concern over control by the political leadership.

8. **Implementation completion preparation.** The mission discussed the requirements to be fulfilled for project completion, particularly in relation to FM, and agreed on timelines for preparation of the government completion report and the completion mission, which is scheduled for October 2023.

**Table 4: Progress against PDO indicators**

<b>PDO indicators</b>	<b>End of project target</b>	<b>Status at last ISM</b>	<b>Current Value</b>
Beneficiaries with access to social & economic services and infrastructure	1,500,000	1,200,000	1,277,200
Direct project beneficiaries	1,041,436	1,129,871	837,739 <sup>2</sup>
Female beneficiaries	50%	51%	51%
Beneficiaries of economic development activities that report an increase in income	42,168	41,445	41,445
Land area where sustainable environmental management practices have been adopted as a result of the project	5,000 ha.	4037 ha.	4233 ha

9. **Counterpart budget allocation.** The project was allocated Kshs. 20 million as counterpart funds for the FY 2023/24, which is the first time that counterpart budget has been provided.

10. **Additional financing (AF) and project closing date extension.** In February 2023, the World Bank Board approved an AF and restructuring, which extended the closing date of the IDA credit from April 30, 2023, to December 31, 2023. Additional financing of US\$3.4 million from the DANIDA Trust Fund was also processed to cover cost overruns for infrastructure subprojects under component 1 caused by the inflationary effects of COVID-19. Subsequently in June 2023, the End Disbursement Date for the DANIDA grant was extended to June 30, 2024, allowing for the Recipient Executed Trust Fund (RETF) TF0A7762 closing date to be extended to December 31, 2023, aligned with the IDA credit.

#### **IV. IMPLEMENTATION PROGRESS AND KEY FINDINGS**

##### **Component 1: Social and Economic Services and Infrastructure**

**Table 5: Intermediate indicator status for component 1**

<b>Indicator</b>	<b>End Target</b>	<b>Status at last ISM</b>	<b>Current status</b>
Beneficiaries that feel project investments reflected their needs	70%	91%	98%
Infrastructure investments for which local governments adopt recurrent budget allocations and/or operation and maintenance plans	70%	100%	100%
Project-financed subprojects that are functioning or delivering services to communities six months after completion	80%	100%	99%

<sup>2</sup> The drop in project beneficiaries was due to a review of the data which had earlier been provided by the Facilitating Partners (FPs). Data provided by FPs was partly based on County Development Plans (CDPs) and the population projection of 2009 census data but when the data was reviewed by the M&E office, some inconsistencies were noted. The data was therefore cleaned up based on 2019 census and the new figure of 837,000 was arrived at.

Sub-component 1(a): Community Investment Fund

11. **By June 2023, 1,408 infrastructure subprojects had been approved, of which 1,061 have been completed.** The component has so far provided access to social and economic services and infrastructure to 1,277,200 beneficiaries against an end target of 1,500,000. Tables 6 and 7 below summarize implementation progress.

**Table 6: Subprojects per sector**

Financial Year	Water	Health	Roads	Education	Sanitation	Markets & Infrastructure	Total
2019/20	74						<b>74</b>
2020/21	201	135	27	248	48	107	<b>766</b>
2021/22	210	39	7	60	0	7	<b>323</b>
2022/23	99	35	9	89	5	8	<b>245</b>
<b>Total</b>	<b>584</b>	<b>209</b>	<b>43</b>	<b>397</b>	<b>53</b>	<b>122</b>	<b>1,408</b>

**Table 7: Subprojects per sector and sub-county**

Sub-project type	Wajir South	Turkana West	Lagdera	Dadaab	Fafi	Total
Water	151	182	92	57	102	<b>584</b>
Health	30	90	19	23	47	<b>209</b>
Roads	11	18	8	1	5	<b>43</b>
Education	111	132	32	56	66	<b>397</b>
Sanitation	21	5	13	4	10	<b>53</b>
Markets & infrastructure	10	63	9	0	40	<b>122</b>
<b>Total</b>	<b>334</b>	<b>490</b>	<b>173</b>	<b>141</b>	<b>270</b>	<b>1,408</b>

12. **Progress has been recorded in the pace of implementation, although there have been delays due to the court conservatory order following community complaints in Lagdera.** A total of 171 out of the 323 sub-projects in the 2021/22 financial year have been completed and are in use. Fifty-two are ongoing, but are expected to be completed by July 31, 2023. Since the previous mission, an additional 310 infrastructure subprojects have been initiated. In the 2022/23 FY, 245 subprojects have been identified and approved for implementation. In Garissa, four are complete and 17 ongoing. Two are ongoing in Turkana and none in Wajir. The remaining 222 subprojects are at various preparatory stages.

13. **Subproject construction quality.** The mission visited five subprojects in Garissa, of which one was rated excellent, two good and two fair in terms of quality of the construction. The subprojects had been designed and constructed generally in accordance with national standards and specifications. There was evidence that the Project Implementation Support Team (PIST) was involved at some stages of implementation, which is one of the main reasons why the quality of the subprojects was considered satisfactory. It was noted, however, that only one of the subprojects visited seemed to have received close and adequate construction supervision by the PIST. At the minimum, the PIST should supervise critical stages of construction, including final foundation level; reinforcement and concreting of floors, beams and columns; roofing; and completion inspections before release of the retention money. The main technical issue identified was cracks appearing on almost all the walls of subprojects. A more detailed technical summary is captured in Annex 4.

14. **The mission was pleased to note that the PIU had adopted key agreed actions from the last ISM, including:** (a) use of solar systems as the default pumping method for water subprojects; (b) inclusion of a defect liability period of three months and retention of five percent payment for all works contracts to ensure that latent defects after completion can be repaired; (c) all new buildings have

installations (tanks and gutters) for water harvesting; and (d) equipping the buildings with the necessary furniture and equipment as part of the works contract. Some sites visited in Turkana, however, had not been equipped due to budget shortfalls, meaning crucial assets such as the maternity ward at the Kalobeyei health center had been completed but unused for seven months. This will be addressed in July, however, once the DANIDA Additional Financing funds can be accessed.

Sub-component 1(b): Capacity support for local planning and decentralized service delivery

15. **Community capacity to sustain investments still requires additional support.** Concerns identified during the MTR and the previous ISM about the extent of community participation in planning and decision-making and community capacity to sustain investments are still evident. In Haji Adan Borehole for instance, the community has a borehole operator, but he needs capacity building to improve his technical skills. The community has yet to put in place the regulations and tariffs for management of the borehole that would sustain the fees of the operator. CPMCs are generally still providing water free of charge, even for livestock businesses that have the capacity to pay.

16. **A total of 1,340 CPMCs were targeted for training on their roles and responsibilities during the financial year.** Out of this number, 1,284 (96%) have been trained and the remaining 56 in Lagdera and Fafi will be completed early in the new Financial year. The CPMCs trained comprised school management boards, health management boards and Water User Associations, among others. The training covered FM, procurement, safeguards, grievance redress Gender Based Violence (GBV) and Sexual Exploitation and Abuse/Sexual Harassment (SEA/SH).

17. Agreed actions for component 1 are:

1. *PIST to be engaged to supervise critical stages of construction.*
2. *Address technical issues raised in Annex 4, specifically related to wall cracks.*
3. *Ensure completed works are approved and verified and measured before final payments are made, including for the defect liability period.*
4. *There is a need to strengthen the technical support to the CPMCs to maximize potential and sustainability of the investments, particularly for water. Collaborate with the World Bank-financed Horn of Africa Groundwater for Resilience Project for capacity building.*
5. *Ensure regular meetings/trainings for SMs and CFs to clarify their roles and responsibilities.*

**Component 2: Environmental and Natural Resource Management**

18. **Component two activities support sustainable Natural Resource Management (NRM) and access to energy.** This component aims to decrease the impacts of the protracted refugee presence, which has degraded the natural resource base, primarily by deforestation.<sup>3</sup> NRM activities are implemented through a labor-intensive public works (LIPW) approach to put cash in the hands of poor and vulnerable in the target communities. The project employs a community-based system to identify the poorest and most vulnerable people, who are then given the opportunity to earn 250 shillings a day for 12 days a month for six months.

**Table 8a: Intermediate indicator status for component 2**

Indicator	End Target	Status at MTR	Status at last ISM	Current Status
Beneficiaries with access to improved energy sources (number)	321,000	51,582	244,582	242,315 <sup>4</sup>
Area provided with irrigation and drainage services (ha.)	1070	383.1	984	984

<sup>3</sup> Activities benefit host communities, livestock/wildlife and include: (a) tree planting (reforestation/afforestation); (b) natural regeneration; (c) revegetation of grass and trees through reseedling; (d) rainwater harvesting; and (e) solid waste and pollution control.

<sup>4</sup> The M&E team reviewed the data and established there were instances of double counting and made corrections to rectify the errors.

Area provided with irrigation and drainage services-New (ha.)	500	73.5	471	479
Area provided with irrigation and drainage services-Improved (ha.)	570	309.6	511	511
Workdays created for short-term employment in the subprojects	3,000,000	1,345,320	2,161,034	2,791,188

**Subcomponent 2(a): Integrated Natural Resource Management**

19. **Subcomponent performance continues to be affected by prolonged drought, and technical complexity.** There has been some improvement, in part, due to adopting the recommendations of the MTR and the November 2022 ISM to implement NRM interventions only in areas with access to water. Rainfall in many of the project areas has also assisted. Activities in the 22/23 financial year emphasised improving provision of water for previously funded subprojects, such as tree nurseries (Saretho, Daghaley primary school, and tree planting sites in Dadaab outside UNHCR), farmlands and most irrigated flood plains to enhance sustainability. The mission visited the tree planting site in Dadaab. The boreholes in the green belts previously supported by UNHCR in Dadaab were renovated, two storage tanks installed, and piping of the largest fruit orchard has been rehabilitated. Natural regeneration subprojects at Uthore in Wajir have been supplied with water storage and piping to support regrowth during drier periods. In Turkana, farms have been rehabilitated through soil and water conservation structures, and drilling of boreholes e.g., in Eyaal, Kalodukunyka and Lomidat. In Fafi, support has mainly focused on improving access to irrigated farmlands along the Tana River to allow harvests and transportation of fruits, melons and vegetables. In the remainder of the project period, the component aims to mostly support interventions that have been previously supported in order to prepare communities for the project exit and enhance sustainability.

20. **Some key agreed actions from the previous ISM have been partially adopted.** The recommendation to use inexpensive and culturally appropriate technology to secure natural regeneration areas instead of expensive chain link fencing has been adopted for some subprojects, using locally available materials such as dry twigs and cactus. Whilst the PIU continues to engage the specialized institutions such as KEFRI and KALRO for technical backstopping, the documentation of the engagement should be enhanced.

**Labor Intensive Public Works (LIPW)**

21. **All sub-component 2(a) (Integrated Natural Resource Management) subprojects are implemented through LIPW, which covers on average 65 percent of the subproject budget.** Beneficiaries of these subprojects are from poor and vulnerable households. They are paid for 12 days' work in a month for six months. As per the table below, 2,791,188 workdays have been created so far.

**Table 8b: LIPW Workdays created per sub-county**

Sub-County	2020/2021	2021/2022	2022/2023	Total
Turkana West	140,564	466,971	18,200	<b>625,735</b>
Wajir South	246,683	290,012	23,140	<b>559,835</b>
Dadaab	347,846	221,984	20,887	<b>590,717</b>
Fafi	348,905	227,550	19,224	<b>595,679</b>
Lagdera	283,369	121,794	14,059	<b>419,222</b>
Overall	1,367,366	1,328,311	95,511	<b>2,791,188</b>

22. **Communities have reported positive impacts, noting that the cash transfers have played a critical role in cushioning the effects of the drought.** The mission met with women in Garissa who

reported that they are productively engaged, and the cash transfer provides additional household support that helps to feed their families and keep children in school.

23. **The PIU had made some progress in addressing the agreed upon actions as follows:** (a) the PIU reported that a follow-on end-to-end reconciliation of payments was made with the CIPIUs and no complaints were observed. All first-time omissions were rectified between the CIPIU and Beneficiaries. The report needs to be provided to the World Bank; (b) Random checks on payment timeliness and completeness have been continuous by NPIU. The in-depth review will report findings; (c) the list of vulnerable beneficiaries provided was drawn from the NDMA records in Turkana and Wajir, but not in Garissa. An important action that has not been undertaken, however, to provide a comparison of LIPW beneficiary targeting with the poverty ranking of households in the Hunger Safety Net Program system. Having this comparison would help to verify if the community-based targeting system is accurate.

24. Agreed actions for sub-component 2(a) are:

6. *PIU to continue to address the technical challenges related to the pump relay and storage tank capacities. Where possible these can be done retroactively (also at other water subprojects), together with the county government to improve sustainability of these projects to support NRM interventions.*
7. *Document the engagement with the specialized institutions KEFRI and KALRO for technical backstopping.*
8. *With the significantly high rainfall in the months of April and May 2023 and projected heavy rains for the months of July to December 2023 (associated with the El Nino weather phenomenon) the NRM interventions will be vulnerable to the increasingly apparent climate change. The NRM interventions should, be climate proofed for sustainability. The project should demonstrate how it intends to leverage the projected El nino rains to enhance NRM sub-projects outputs, in light of the fact that nearly 90% of LIPW inputs have already been used up.*
9. *Avail the pending reports on end-to-end reconciliation by July 15, 2023.*
10. *Provide the comparison of the LIPW beneficiaries with the HSNP list.*

Subcomponent 2(b): Access to alternative energy sources (solar)

25. Activities under this sub-component increase access to alternative sources of energy and reduce dependence on wood for cooking, which is a source of tension between refugees and host communities. Cutting back on the time spent fetching wood for cooking also frees up women to pursue livelihood opportunities and reduces the risk of GBV. Support is given to interventions that address host communities' energy requirements, such as domestic cooking and lighting; lighting for public institutions, such as schools and health centers; and productive activities, including lighting for small shops and businesses and for manufacturing and processing.

**Table 8c: Beneficiaries of alternative Energy Sources**

Subproject type	Fafi	Wajir South	Lagdera	Dadaab	Turkana West	Total
Beneficiaries of Energy Saving Stoves	14,970	7,080	11,622	8,000	2,988	44,660
Beneficiaries of lighting	16,650	4,260	0	0	600	21,510
Beneficiaries of street lighting	0	0	7,892	117,000**	0	124,892
Borehole solarization	0	0	0	25,000	0	25,000
Institutional solar systems	0	0	0	0	26,253	26,253
<b>Total</b>	<b>31,620</b>	<b>11,340</b>	<b>19,514</b>	<b>150,000</b>	<b>29,841</b>	<b>242,315</b>

\*\* The street lighting beneficiaries in Dadaab include - 56,596 host community, 50,000 refugees & other and 10,404 from Liboi.

### Component 3: Livelihoods Program<sup>5</sup>

**Table 9: Intermediate indicator status for component 3**

Indicator	End Target	Status at MTR	Nov. ISM	Current status
Beneficiaries of livelihood-support activities	56,225	36,959	51,116	59,501
Community-based organizations formed or reinforced and still operational one year after receiving funding	2890	2,843	2,843	2,843

26. **Implementation Progress.** By June 2023, the project had funded 4577 Community Groups (CGs), of which 988 (21%) are solely women groups, 869 (19%) are youth groups, 74 (2%) are Persons with Disability (PWD) groups and 2646 (58%) mixed groups. This corresponds to a total of 59,501 beneficiaries against a final target of 56,225 most of whom are women and youth. Implementation of 2022/23 CG livelihood activities are at different stages across the counties, and it is expected that by the end of the financial year, all 645 CGs and 31 Producer organizations would have started and completed implementation of livelihood activities.

**Table 10: Summary of Livelihood CGs Funded as at November 2022**

Sub County	FY19/20	FY20/21	FY 21/22	FY 22/23	Total	%
Turkana West	520	7,904	8,437	4,498	21,359	36%
Wajir South	533	5174	5408	1222	12,337	21%
Dadaab	520	4095	4121	1287	10,023	17%
Fafi	520	3,861	3,874	1001	9,256	15%
Lagdera	520	2,574	3,055	377	6,526	11%
Total	2613	23,608	24,895	8,385	59,501	100

27. **CGs visited during the mission were performing relatively well, with some able to generate profits that are shared between members.** Table banking groups were providing a useful service and filling access to finance gaps in the target areas. The mission visited the Red Sun Women's Group in Garissa and noted that the profits generated amounted to KShs. 300,000 and that through inter-lending individual members businesses were established and expanded.

28. **The mission noted reports by various complainants that the selection of some CGs did not involve community participation and was at the discretion of some SMs.** Some complaints also suggested that some individuals were members in multiple CGs, which is against the rules. These complaints are being addressed by the PIU to validate the legitimacy of CG selection. Membership lists will be double checked to verify any duplicates by July 30, 2023. Given the extent of complaints about livelihood group selection, any future such activities in challenging operational contexts such as Garissa, Wajir and Turkana, should include independent verification of group selection.

29. **IBM survey results report mixed outcomes.** Half of respondents who received support from the livelihoods program reported an increase in income. Ninety-one percent of respondents who reported an increase in income received support for business enterprises while only 64 percent of

<sup>5</sup> Refugee-hosting communities in the target areas derive income either from traditional livelihoods including pastoralism, agropastoralism, and small-scale agriculture; and/or non-traditional livelihoods including small businesses, skills-based jobs, and service enterprises. The component supports interventions to improve the productivity of livelihoods and strengthen the community resilience. The guiding principles for this Component are: (a) emphasis on promoting livelihoods of the most vulnerable, including women and youth; (b) building on experiences and tools developed by the Government and partner agencies; and (c) optimizing existing infrastructure, including in the refugee camps.

respondents reporting an increase in income received support for agriculture. The lower profitability of agriculture is partially explained by the lengthy drought. In terms of sustainability of the CGs, most businesses supported in Turkana West (79%) and Lagdera (86%) are still operational. Fafi and Wajir South reported a lower proportion at 14 and 24 percent respectively. While the IBM data is not representative, the low numbers in Fafi and Wajir South are a concern that will need to be validated in the endline survey.

30. **The agreed actions during the MTR were implemented as follows:** (i) Peer-to-peer learning continued across the counties, where best performing CGs were identified for knowledge sharing purposes. They included West Akum-Alem, a poultry production self-help group in Turkana and Nasri farm in Wajir for Vegetable production. Through peer learning, vegetable producing groups have federated into a producer organization and registered with the Commissioner of Cooperatives; (ii) Refresher trainings were conducted for SMs and CFs, including on criteria for funding of CGs (Turkana Nov 2022, Wajir March 2023 and Garissa April 2023 ). SMs and CFs were to cascade the training to CGs at the ward and village levels.

31. Agreed actions for component 3 are:

11. *Conduct a verification and validation of the CG selection process in Lagdera Sub-county before conclusion of the final list of CGs*
12. *Continued follow up by SMs and CFs of documentation from the CGs.*
13. *Continuous sensitization on the criteria for funding of CGs.*

#### **Component 4: Project Management, Monitoring and Evaluation (M&E), and Knowledge Sharing**

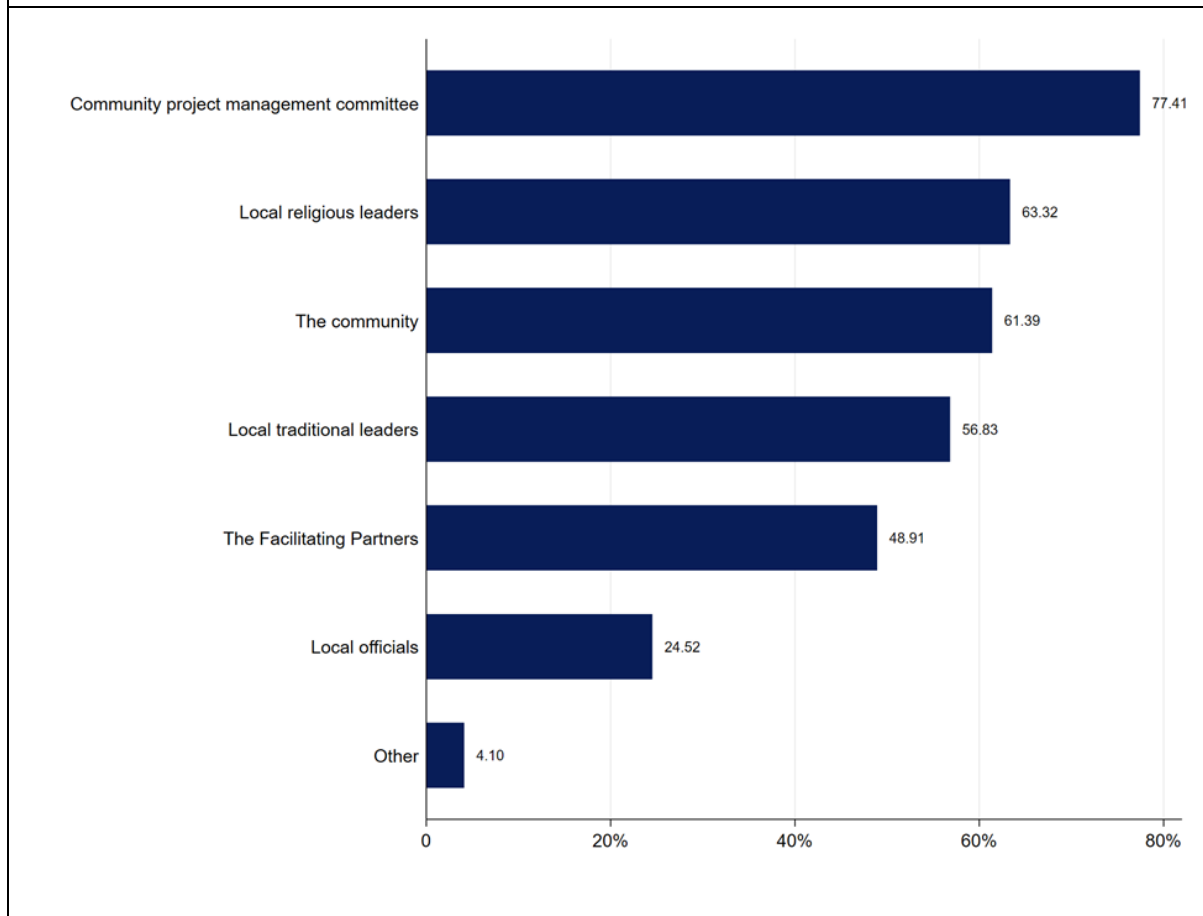
##### **Project Management**

32. **Mobilization of technical support.** There has been improved coordination between the NPIU and CIPIU and PIST, resulting in strengthened supervision and technical backstopping of subprojects. The mission particularly observed the improved engagement of the County Government of Garissa. However, there is still need for greater collaboration to ensure consistency and supervision at critical stages of project implementation. The SMs and CFs are to support the PIU in FM to obtain accountabilities from the communities; and assist in some of the safeguards processes, especially screening and compliance monitoring and grievance management.

33. **Communication.** The project continues to make progress addressing communication risks identified in previous ISMs, including poor project sensitization among communities, low levels of awareness of the GRM, limited disclosure of project information, strained inter-governmental relations and co-ordination issues between the NPIU and CIPIUs. The PIU reported that continuous engagement took place in Turkana, Garissa and Wajir, involving county and national government officials, elected leaders and the general public. The Garissa County Governor expressed support for the project but requested more regular coordination and to be more engaged, including invitations to the launches of completed subprojects. The mission agreed that the PIU will pro-actively improve communication on project progress and engagement with all county governments and MPs.

34. **The IBM survey showed encouraging results in relation to community engagement.** The majority of respondents (70%) reported that they have been invited to participate in community meetings and that meeting times are convenient for them. Respondents believe that decision making is community led, but recognize significant influence from local leaders, with 77% stating that decisions are made by the CPMCs (see Figure 1). The majority of respondents (all of whom are beneficiaries) reported positive sentiments about how project activities are run, with most either strongly agreeing or agreeing that their opinions are considered, relevant subprojects are chosen, participants in livelihood activities are fairly chosen and they are overall satisfied with the project. Awareness of the project GRM increased in the second round to 41 percent from 34 percent in round one. More beneficiaries in Round two also reported using the complaints mechanism compared to round one.

Figure 1: Who makes decisions under KDRDIP regarding subproject investments?



**35. Agreed actions on project management are:**

- 14. *Hold monthly meetings with county government leadership, the county commissioner and MPs to brief them on project progress and involve them in key project activities such as official opening of completed subprojects.*
- 15. *Garissa CG to commit to provide staff to operate and manage health facilities constructed under the project such as the Dental unit in Dertu and Maternity Facility in Banane.*
- 16. *Prepare and submit Borrower's Implementation Completion report by early October.*

**Monitoring and Evaluation (M&E)**

36. **There continues to be good progress in implementing the DRDIP M&E system.** Progress data and results information is being collected, and routine performance review meetings are being held. The M&E rating is Moderately Satisfactory (MS).

37. **Results Framework.** Data on the results framework indicators shows that most indicators are on track to achieving their end target results (see Annex 3). However, some inconsistencies were noted in the data and have been corrected, whilst others will be addressed by July 15.

38. **Data collection.** Timely and reliable collection of data had been affected in Garissa and Wajir with the expiry of the contracts of CFs and SMs. However, the CFs and SMs have now started working and collecting data on subprojects and CGs. The mission agreed that the NPIU M&E Officer will hold regular virtual M&E support meetings with them.

39. **Management Information Systems (MIS) and reporting.** Data capture into the MIS is still relatively low, meaning it has not served its intended purpose of providing real time project data.

40. **Performance reviews.** During the period under review, performance review meetings led by the NPIU were held between the M and E office and component heads, as well as with the CIPIU teams to discuss project progress. Issues discussed in the performance meetings include progress on the key agreed actions of Aides Memoire, results framework indicators, the WB-commissioned in-depth review, end of project report, financial returns, annual work plan and budget for FY 2023/2024, the DRDIP Regional Project Steering Committee and safeguards compliance. Moving forward, the NPIU M&E Officer will share records of these meetings with the World Bank.

41. **Midline/Endline Survey and IBM.** The results of the midline survey have been presented to the PIU and the final report will be submitted by July 30. Endline data collection will commence in July-August so a draft report will be ready for the ICR. The World Bank, with support from the NPIU, also commissioned the second round of IBM.

42. **Agreed actions on M&E include:**

17. *NPIU with support from the World Bank will carry out a data verification exercise for result framework indicators by July 15.*

18. *The endline survey will be used to provide data for two results framework indicators, namely “Beneficiaries of economic development activities that report an increase in income” and “Beneficiaries that feel project investments reflected their needs.”*

## **Financial Management**

43. **Fund utilization.** At the time of the mission, under IDA, the project had disbursed XDR 62,356,417 (US\$86,810,387) out of the XDR73,900,000 (US\$100,000,000) credit, representing 84 percent. Funds utilization is still low, with only US\$54,810,387 (54.8%) of the Credit having been documented. The project has an undisbursed balance of XDR11,543,582 (US\$15,406,412). Under the DANIDA Grant, the project has disbursed US\$8,180,000 out of the total of US\$11,580,000 and documented US\$8,062,627 representing 70%. The project is at risk of not utilizing all the funds by the closure date of December 31, 2023, due to slow implementation at the community level.

44. **The project does not currently have a budget for the undisbursed balance for the FY 2023/24 for the Credit and US\$ 2.4 million for the Grant.** The State Department of ASALs is in the process of seeking National Treasury approval to access the funds under Article 223 of the Constitution. Disbursement of the DANIDA additional Grant to counties whose budget had been factored Supplementary 2 was not made due to lack of funds attributed to delayed submission of the withdrawal application to the Bank as a result of late approval of the Supplementary budget.

45. **The implementation timeline for subprojects for the last three years ranges between three and six months after the transfer of funds to the CPMCs.** This includes procurement and implementation. To ensure eligibility of expenditure and subproject completion by the closure date of December 31, 2023, final disbursement to the CPMCs should be completed by July 31, 2023.

46. **The field mission noted improvement in FM at the community level.** However, some challenges persist that require continuous hand holding and capacity building. These include delayed accountabilities from completed subprojects, delayed closure of bank accounts for completed CGs and subprojects, incomplete records for completed subprojects, payment of retention money before end of defects liability period, failure to deduct VAT in some payments to contractors, lack of approval on the use of savings and specification of extra works implemented and lack of detailed work measurements supporting interim payment certificates or completion certificates.

47. **Due to the weaknesses noted above, the FM rating remains moderately satisfactory** while the risk assessment rating is assessed as **substantial**. The project will implement the agreed action plan and have monthly FM meetings with the Bank until project closure. Agreed FM actions are:

15. *Fast track approval to use the remaining balance for the FY 23/24 through Article 223 of the Constitution by July 31, 2023.*
16. *Ensure agreed actions in the FM action plan are implemented within the agreed timelines, including timely submission of accountabilities, closure of bank accounts for completed subprojects, complete support documentation, deduction of statutory requirements and obtaining required prior approvals.*

## **Procurement**

48. **Increased threshold for local bidding.** Upon the request of the Borrower, the local bidding thresholds have been raised to the level commensurate with the thresholds set by the World Bank for the Kenya portfolio. It is expected that the increased thresholds would reduce participation of contractors and suppliers from outside the project counties in locally advertised contracts and hence increase the chances of local business community winning all or the majority of the contracts which in turn would promote and boost the technical and financial capabilities of the local business community.

49. **Findings of subproject site visits.** The mission visited six completed infrastructure subprojects in Dadaab and Lagdera and had discussions with their respective CPMCs and community beneficiaries. The team inspected the facilities and procurement documents. The team noted that: (i) the records of some subprojects were available in the procurement files while some were incomplete; (ii) contract prices were within the allocated budget and completion periods within the planned timeframe; (iii) despite some defects in some of the facilities visited, the facilities conformed to their designs; and (iv) the beneficiaries showed ownership of the processes of identification and implementation of the facilities and were contented with the facilities.

50. **Progress of agreed actions in the last ISM.** The only agreed action in the last Aide Memoire was to establish an effective oversight arrangement at the CIPIU for procurement, including: (i) NPIU to nominate at least two members of the PIST to assist CIPIU in providing direct technical and procurement support to CPMCs in the implementation of procurement under CDD sub-projects; and (ii) designated PIST members, who would preferably be representatives from ministries/departments in charge of works and water in the County will oversee SMs and CFs in the sub-county. They would receive transportation expenses to be approved by the County Coordinator. Based on the deficiencies in the quality and or completeness of the procurement records observed by the mission, it was obvious that CPMCs had not received adequate support by the PIST members or CIPIU procurement staff.

51. **Procurement Performance Rating.** Based on the mission's assessment of the subprojects visited, the procurement performance is rated satisfactory. Agreed actions are as follows:

17. *Implement the action agreed in the previous mission to establish an oversight mechanism.*
18. *Use the appropriate procurement documents, including standard bidding documents, evaluation forms, etc. for all contracts*
19. *Ensure complete procurement records are kept by CPMCs and CIPIUs.*

## **Safeguards**

52. The environmental and social safeguards performance rating is maintained at Moderately Satisfactory.

53. **E&S safeguards documentation.** Sub-counties are at different stages in the implementation of subprojects for FY22/23. Some are at screening, preparation of the E&S instruments, and/or

construction. The CIPIU safeguards units have been financially resourced to engage lead experts to support the development of safeguards documents. SMs and CFs have been trained to support screening and compliance monitoring. To avoid any delays, the safeguards team was advised to share a strategy for development and finalization of E&S instruments for FY 22/23 by 31 August 2023. During the mission, the Bank team provided guidance on key safeguards aspects, including management of incinerators and related environmental health and safety requirements.

54. **Environment and Social Compliance monitoring and reporting.** Areas of effective compliance observed during the field visits included: (i) acquisition of Water Resources Authority (WRA) permits and NEMA license for development of borehole subprojects; (ii) use of renewable energy as an alternative power supply for water pumping; (iii) fencing of the borehole area to restrict external access; and (iv) provision of appropriate water management system and healthcare waste infrastructure, such as placenta pits, for the maternity unit at the Banane Health centre.

55. **Whereas there are good efforts in supervision, monitoring, and reporting on ESMP implementation, some common areas of non-compliance include:** (i) limited clearance of sites after construction; (ii) improper management of debris and disposal of solid waste at construction sites; and (iii) poor water drainage and lack of a water metering system for borehole subprojects. To ensure all safeguard aspects are addressed, the mission agreed to prepare a safeguard checklist for the PIST and/or the involvement of safeguards officers during final inspection of the sub-projects before the issuance of the completion report and payment. To further enhance compliance, the mission agreed the PIU would share monthly safeguards monitoring reports with the Bank. The report will include updates on the development of E&S documents, ESMP implementation, compliance, occupational health and safety incidents, grievance logs, stakeholder engagements and inclusion aspects.

56. **Project Closure Requirements.** In preparation for project closure the PIU will: (i) ensure all E&S safeguard issues are addressed; (ii) satisfactorily address all complaints and grievances received and logged; (iii) consolidate key highlights and achievements to document E&S best practices.

57. **Management of grievances.** During the last couple of months, the project experienced an increase in the number of grievances mostly related to selection of CGs under Component 3. The project safeguards team at both the national and county level have been consistent in their effort to address the grievances, resulting in the closure of 59 percent of the logged complaints. The project team met with three complainants who alleged that the selection of the CGs and formation of the CPMCs had been done without the involvement of target communities and in contravention of the procedure laid out in the Community Operational Manual of KDRDIP. These complaints are being addressed by the PIU and the Bank team will continue to follow up until they are satisfactorily resolved.

58. **Constitution of the CPMCs and gender.** From the subprojects visited, most of the CPMCs had a fair representation of men and women. However, the mission noted that participation of women seemed weak and did not demonstrate active engagement in the process of subproject development, management and operation. While no tangible reason was given for the weak participation of women, the mission advises that, going forward and where capacity exists, women should be more engaged.

59. **Information disclosure.** The project team has made notable efforts in ensuring disclosure of subproject information through signage and writing on walls of project financed infrastructure. However, information on the cost of the subprojects was missing.

**60. Agreed actions for social safeguards are:**

20. *As the project seeks to handover the management of subprojects to CPMCs, it is important that there is adequate capacity for effective operations and maintenance. For this reason, the mission agreed to:*

a) *Request the CG of Garissa to provide at least: (i) one female nurse at the Banane maternity ward to promote culturally appropriate service provision as currently the facility is served*

- by only two male nurses; and (ii) one Clinical Officer to support optimal functioning of the maternity ward as the existing staff are reportedly inadequate;*
- b) Provide clear walking paths to access the project financed infrastructure (offices, sanitation blocks, dining hall, classroom);*
  - c) Provide ramps in buildings for ease of access by PWDs;*
  - d) Where feasible and funds allow, ensure solarisation of the maternity facility at Banane as a necessary alternative source of energy to help in conducting deliveries, especially during blackouts.*
- 21. NPIU to routinely engage the complainants and other relevant stakeholders to ensure timely resolution of all the logged grievances, particularly those related to CG selection.*
  - 22. NPIU to share the updated project GRM log with WB on monthly basis .*
  - 23. NPIU to support women to take leadership positions within the CPMCs of the new sub projects and, and benefit from the ongoing (financial management) and planned capacity strengthening actions during the remaining project timeline.*
  - 24. To improve information disclosure, include the costing on all subproject signage.*

**DISCLOSURE:**

The disclosure of this *Aide-Mémoire* was discussed with the Government of Kenya. The Bank and the Borrower confirmed their understanding and agreement to publicly disclose this *Aide-Mémoire*.

- Annex 1: List of Agreed Next Actions
- Annex 2: Status of Actions Agreed upon during previous ISM
- Annex 3: Results Framework
- Annex 4: Quality of workmanship/field notes for infrastructure subprojects
- Annex 5: Mission itinerary
- Annex 6: List of Participants

## Annex 1: Summary of Agreed Next Actions

	Actions	Responsible	Due date
<b>Overall</b>			
i	Address legal and political obstacles in Fafi and Lagdera or decide whether to cancel unspent funds or shift them to other sub-counties.	NPIU/WB	13 July, 2023
ii	Review and identify key actions from the in-depth review, working with fiduciary colleagues and INT	NPIU/WB	31 July, 2023
iii	PIU to meet monthly with Governors and ensure they are invited to public events (e.g., launch of subprojects)	NPIU/CIPIU	July 31, 2023
iv	Coordinate with county government to provide staffing for health interventions & overall sustainability of projects	NPIU/CIPIU	31 July, 2023
v	Present midline results to PIU and commence data collection for the endline evaluation	WB	14 July 2023
<b>Component 1</b>			
1.	Coordinate with PIST to enhance supervision at all critical stages of building sub-projects including final foundation level; reinforcement and concreting of floors, beams and columns; roofing; and inspections at practical completion and before release of the retention money	NPIU/CIPIU	Immediate for ongoing projects
2.	Include in the Bill of Quantities provision of adequate water for curing and PIST should monitor the progression of cracks and address them if they continue to widen.	NPIU/CIPIU	Immediate for ongoing projects
3.	Engage CPMCs to ensure: (i) completed works are approved and measured before payments are made to the contractor in accordance with the unit rates in the BoQ; (ii) the Provisional Sum, contingencies and any savings made should only be expended on the instructions of the Committee and/or the PIST	NPIU/CIPIU	Immediate for ongoing projects
4.	Strengthen the technical support to the CPMCs to maximize potential and sustainability of the water investments. Collaboration with the Horn of Africa Ground-water for Resilience Project towards capacity building of Water Management Committees	NPIU/WB	By October 2023
<b>Component 2</b>			
5.	continue to address the technical challenges related to the pump relay and storage tank capacities retroactively (also at other water subprojects), together with the county government to improve sustainability of the projects to support NRM interventions.	NPIU	Continuous
6.	Document the engagement with the specialized institutions KEFRI and KALRO for technical backstopping.	NPIU/CIPIU	Continuous
7.	Avail the pending reports on end-to-end reconciliations	NPIU	By July 31, 2023
8.	The NRM interventions should, be climate proofed for sustainability; leverage the projected El nino rains to enhance NRM sub-projects outputs	NPIU	October 31, 2023.
<b>Component 3</b>			
9.	Conduct a verification and validation of the CG selection process in Lagdera Sub-county before conclusion of the final list of CGs	NPIU	July 3

	<b>Actions</b>	<b>Responsible</b>	<b>Due date</b>
10.	Continued follow up by SMs and CFs of documentation from the CGs	NPIU/CIPIU	Continuous
11.	Sensitization on the criteria for funding of CGs.	NPIU/CIPIU	Continuous
<b>Project Management</b>			
12.	Submission of Project Completion Report	NPIU	October 2, 2023
<b>Financial Management</b>			
13.	Provision for the budget for IDA Credit for FY2023/2024	NPIU	July 31, 2023
14.	Final disbursement to communities	NPIU/CIPIU	July 31, 2023
15.	Submission of delayed accountabilities for FY 2020/2021 and 2021/2022	NPIU/CIPIU	June 30, 2023
16.	Submission of report on closure of bank accounts for completed sub projects and CGs for FY 2019/2020 to FY 2021/2022	NPIU/CIPIU	July 31, 2023
17.	Submission of report on closure of bank accounts for completed sub projects and CGs for FY 2022/2023 and FY 2023/2024	NPIU/CIPIU	February 28, 2024
18.	Provide details on approval and utilization of savings of Kshs. 796,700 at Benane Health Centre	CIPIU	July 31, 2023
19.	Hold financial management monthly meetings to monitor budget performance, submission of accountabilities by counties and monitoring other FM action plan items.	NPIU/CIPIU	Monthly, commencing July 2023
20.	Ensure deduction of required taxes from contractors	CIPIU	Continuous
21.	Interim payment certificates to be supported by detailed work measurements	NPIU	Continuous
<b>Procurement</b>			
22.	Follow up on the pending agreed action to establish an effective oversight arrangement at the CIPIU for procurement, including: (a) NPIU to nominate at least two members of the PIST to assist CIPIU in providing direct technical and procurement support to CPMCs in the implementation of procurement under CDD sub-projects; (b) designated PIST members, who would preferably be representatives from ministries/departments in charge of works and water in the County will oversee SMs and CFs in the sub-county.	NPIU/CIPIU	Immediate
23.	The appropriate procurement documents including standard bidding documents, evaluation forms, etc. are used for all contracts	NPIU	Continuous
24.	Complete procurement records are kept by CPMCs and CIPU	NPIU/CIPIU	Continuous
<b>Environmental and Social Safeguards</b>			
25.	Share strategy on development and finalization of E&S instruments for FY 2022/2023	NPIU	June 30 <sup>th</sup> , 2023
26.	Share the monthly safeguards progress report showing progress made in the development of safeguards instruments, implementation of sub-projects, stakeholder engagements, and implementation of corrective action among others.	NPIU	Monthly
27.	Share monthly grievance report showing the grievance logged, resolved and pending resolution and closed out	NPIU	Monthly
28.	Share a report on all the construction and installation of healthcare waste treatment systems (incinerators and/or burning chambers) at the health facilities	NPIU	July 31, 2023
29.	Share the strategy of supporting the Environment	NPIU	June 30, 2023

	Actions	Responsible	Due date
	safeguards Officer for Turkana County currently responsible for Social safeguard aspects of the project to ensure timely development of safeguards instruments		
30.	Follow up with the finance team on payment of NEMA fee. 0.1% of the project cost, to ensure all the sub-projects are licensed.	NPIU	July 1, 2023
Monitoring and Evaluation			
31.	Data collection and reporting	CFs and SMs / NPIU	Continuous
32.	Data verification exercise for results framework indicators	PIUs/WB	July to October 2023
33.	Updating results framework indicators	NPIU	Continuous
34.	Virtual M&E support meetings with SMs and CFs	NPIU/WB	July to October 2023
35.	Update KDRDIP visualization dashboard	NPIU/ IGAD	October 2023
36.	Update of MIS with CGs and SPs information	PIUs	Continuous
37.	Preparing, consolidating and submitting quarterly progress reports	PIUs	After every 3 months
38.	Support endline survey	WB/ NPIU	July to September 2023

#### **Annex 2 Status of Actions Agreed upon during the last ISM**

	Actions	Responsible	Due date	
Overall				
i	Finalize appraisal and negotiations of Additional Financing	NPIU/NT/WB	December 19, 2022	Completed
Component 1				
39.	Monitor cracks appearing on subproject site walls and flows and address if they continue to widen.	NPIU/CIPIU/PIST	Immediate	Ongoing
40.	A small provisional sum be included in the bills of quantities for buildings of KSh. 10 million and above for off-site concrete strength tests.	NPIU	Immediate for new projects	Done for 1 project. All other building BoQs are below 10m
41.	Allow contingencies in the bills of quantities for justifiable price and physical variations during construction to address inflation and & increased price of construction material	NPIU	Immediate for new projects	Ongoing
42.	Roll out a more comprehensive training program to build the capacity of water subproject CPMCs to determine budget requirements for operations and maintenance, set tariffs for water use and develop basic maintenance skills.	NPIU/WB	By January 2023	Ongoing
43.	Need for continued strengthening of integration between components as well as spatial planning on sub-project investments	NPIU/CIPIU	Continuous	Being implemented where possible.
Component 2				
44.	Maximize the potential yield capacity of the boreholes, by addressing the	PIU/CIPIU		Ongoing

	<b>Actions</b>	<b>Responsible</b>	<b>Due date</b>	
	technical challenges related to the pump relay and storage tank capacities.			
45.	Implement natural regeneration subprojects using inexpensive traditional fences that resonate with the communities such as using twigs and thorny shrubs through LIPW	CIPIU	Immediate	Partially done.
46.	Sustained engagement of the PIST and the specialized institutions – KEFRI and KALRO for technical backstopping. Document the engagement with the specialized institutions	CIPIU	Continuous	Ongoing
47.	Make available a report on the end-to-end reconciliation of the payments made so far	NPIU/CIPIU	December 10, 2022.	All first-time omissions rectified between the Bank, the CIPIU and Beneficiaries. Report should be availed by July 15, 2023.
48.	Random checks on payment timeliness and completeness will be undertaken during the planned in-depth review.	NPIU/WB	January 2022	Continuous by NPIU & report concluded in the In-depth review to provide details.
49.	The project team is to provide a list of the beneficiaries or carry out the comparison with the Single HSNP registry and share a report –	NPIU/CIPIU	Mid-December 2022	Report on comparison yet to be availed.
50.	Strengthen the monitoring and evaluation of the LIPW on a regular and spontaneous basis to ensure works are ongoing consistently.	NPIU/CIPIU	Continuous	Ongoing
<b>Component 3</b>				
51.	Encourage and facilitate peer learning that expose CGs to well performing business groups	WB/NPIU	Continuous	Ongoing
52.	Strengthen the follow up by SMs and CFs of documentation from the CGs	NPIU	Continuous	Ongoing
53.	Continuous sensitization on criteria for funding of CGs	WB/NPIU	Continuous	Ongoing
<b>Project Management</b>				
54.	Strengthen technical capacity of CFs and SMs to support some technical tasks in the project activities	NPIU and WB	Continuous	Completed
55.	Enhance communication on project progress and impact to the County governor and executive leadership team and project objectives to communities	NPIU	Continuous	Continuous
<b>Financial Management</b>				
56.	Hire SMs and CFs in Wajir and Garissa when the FP contracts expire in December 2022	NPIU/CIPIU	December 31, 2022	Completed
57.	Suspend disbursement to the CGMCs and CPMCs for Garissa and Wajir	NPIU/CIPIU	Until acceptable FM arrangements at community	The disbursement for the 1 <sup>st</sup> half of

	Actions	Responsible	Due date	
			level are in place	2022/23 FY had already been done while the FPs contracts were still active. The second half funds were disbursed after CFs and SMs were onboard. There was therefore no need to suspend any disbursements
58.	FM training for the SMs and CFs under temporary employment in Turkana and PIST across the three counties	NPIU/CIPUI	December 31, 2022	Completed
59.	Addressing the value for money concerns on investments under component 2	NPIU/CIPUI	December 31, 2022	Ongoing
60.	Resolution of expenditure items not allowable under IDA funding	NPIU	December 10, 2022	Completed
61.	Quantify deliverables under LIPW before payment processing	CIPUIs	Continuous	Ongoing
62.	Resolution of committee wrangles and court cases stalling project implementation	NPIU/CIPUI	Immediately	Resolved
63.	Completion of sub projects by the project closure date	NPIU/CIPUI	April 30, 2023	Ongoing
64.	Submission of the June 30, 2022, external audit report	NPIU	April 30, 2023	Completed
65.	Capacity building for the CGMCs and CPMCs including on financial management	NPIU/CIPUI	Continuous	Completed for FM
66.	Support the closing balances of the sub project and CGs for quarterly reports with schedules of the CGs and sub projects	NPIU/CIPUI	December 31, 2022	Completed
<b>Procurement</b>				
67.	Establish an effective oversight arrangement at the CIPUI for procurement, including: (a) NPIU to nominate at least two members of the PIST to assist CIPUI in providing direct technical and procurement support to CPMCs in the implementation of procurement under CDD sub-projects; (b) designated PIST members, who would preferably be representatives from ministries/departments in charge of works and water in the County will oversee SMs and CFs in the sub-county.	NPIU/CIPUI	Immediate	Not done.

	<b>Actions</b>	<b>Responsible</b>	<b>Due date</b>	
68.	Contract CFs and SMs directly in Garissa and Wajir, following the expiry of the FP contracts	NPIU	December 31, 2022	Completed
<b>Environmental and Social Safeguards</b>				
69.	Share updated E&S safeguard trackers for all counties	NPIU	December 15	All the trackers for FY 21-22 and 22-23 shared with the bank
70.	NPIU to facilitate the release of pending SPRs for Wajir County by hastening payment of the Lead expert provided the work is satisfactory.	NPIU	December 30	All the SPR prepared and released to CIPIU
71.	Utilize the planned E&S audit of the project to prepare an inclusivity report that provides an in-depth analysis on the extent of inclusion of VMGs in KDRDIP	NPIU	December 30	The status on extent of inclusion of VMGs included in the ESA report and shared with the Bank
72.	NPIU to review and enhance all the E&S instruments developed by the County Safeguards team and Lead Experts and submit a sample of the revised SPRs and all CPRs to WB for review	NPIU	January 15, 2023	SPRs reviewed and sample SPRs shared with bank for review, review received and incorporated in the SPRs. CPRs to be shared with the Bank after review by NPIU
73.	Compliance: (a) ensure good housekeeping practices at construction sites as provided in ESMPS;(b) ensure provision of appropriate drainage system for management of overflowing water from water kiosks and troughs; (c) construct wastewater management systems for different facilities such as maternity wards; (d) monitor borehole subprojects to ensure compliance with ESMP and Water Resource Authority permit conditions before handover to the community; and (e) factor in the project designs provision of ramps and access paths to allow unimpeded access for the elderly and PWDs for existing and new facilities.	NPIU	January 30, 2023	Follow up being undertaken during compliance monitoring
74.	Confirm that GRCs exist through the E&S audit and train all on grievance management including a re-sensitization on the project GRM	NPIU	January 31, 2023	GRCs in Garissa and Wajir could not be mobilized in a short notice and instead CFs and SMs trained  Training of GRCs to be done during Q4 for Turkana
75.	In consultation with the CPMCs put in place sustainability measures for all	NPIU	January 31 2023	CPMCs and Water Users Association

	Actions	Responsible	Due date	
	subprojects			trained on sustainable management of sub projects.  Additional sustainability measures identified in ESA report for implementation.
76.	Prepare a summary report on boreholes, indicating their status; availability of feasibility reports (hydrogeological reports), ESIA reports and NEMA licence and WRA permits. A way forward needs to be provided for the failed boreholes so that they do not pose H&S risks to communities	CIPIU	Feb 2023	Borehole report prepared and shared with Bank.
77.	Undertake quarterly stakeholder engagement sessions during which information regarding KDRDIP investments in the county is disclosed	NPIU	May 31 2023	Stakeholder engagement done where the PIST, MCAs and MPs were engaged in all counties
<b>Monitoring and Evaluation</b>				
78.	Refresher Training of all CFs and SMs teams on data collection and reporting.	NPIU/WB	February 2023	Concluded by various teams
79.	Develop KDRDIP visualization dashboard	NPIU	March 2023	Project overview, Component 1,2 and 3 dashboards developed and shared on Project website.
80.	Update of MIS with CGs and SPs information	NPIUs	Continuous	Information on sub-projects and community groups; additional payments; bank accounts; safeguard documentation uploaded.
81.	Undertake field visits to monitor and report progress	NPIU/CFs/SMs	Continuous	Field Visits undertaken by various teams
82.	Prepare, consolidate and submit quarterly progress reports	NPIU	Quarterly	Quarterly reports prepared, consolidated and submitted up to the third quarter 2022/23 FY.
83.	Update results framework	NPIU and WB	Continuous	Continuous (updated as at end of 3 <sup>rd</sup> quarter)
84.	Support midline survey	WB	February 2023	Completed

### Annex 3: Updated Results Framework

Component	Indicator	End-line target	Current value	Remarks
PDO indicators	Beneficiaries with access to social and economic services and infrastructure	1,500,000	1,277,000	On-track
	Direct project beneficiaries	1,041,436	837,739	On-track
	Female beneficiaries	50%	47%	On-track
	Beneficiaries of economic development activities that report an increase in income	42,168	41,445(94%) (Placeholder data)	To be gotten from endline survey
	Land area where sustainable environmental management practices have been adopted as a result of the project	5000 ha.	4233 ha.	Off-track
	Secretariat's capacity assessment plan and annual progress reports reflecting the expanded mandate endorsed by participating countries	5	5	On-track
	Meeting of the Project's regional Steering Committee (RPSC), knowledge sharing, and learning workshop organized annually by the DRDIP Regional Secretariat, reflecting the expanded mandate.	5	5	On-track
	Beneficiaries that feel project invest. Reflected their needs (Number)	364,000	TBD	
Component 1	Beneficiaries that feel project investments reflected their needs (%)	70%	98% (placeholder data)	To be gotten from endline survey
	Infrastructure investments for which local governments adopt recurrent budget allocations and/or operation and maintenance plans	70%	100%	On-track
	Project-financed subprojects that are functioning or delivering services to communities six months after completion	80%	99%	On-track
Component 2	Beneficiaries with access to improved energy sources (number)	321,000	242,315	Off-track
	Area provided with irrigation and drainage services (ha)	1070 ha.	984 ha.	On-track
	<i>Area provided with irrigation and drainage services – new (ha)</i>	500 ha.	479 ha.	On-track
	<i>Area provided with irrigation and drainage services – improved (ha)</i>	570 ha.	511 ha.	On-track
	Workdays created for short-term employment in the subprojects	3,000,000	2,791,188	On-track
Component 3	Beneficiaries of livelihood-support activities	56,225	59,501	On-track
	Community-based organizations formed or reinforced and still operational one year after receiving funding.	2890	2,843	On-track

#### Annex 4: Quality of Workmanship/ Infrastructure Field Notes

S. No.	Sub-project	Status of implementation	Remarks
1	Haji Adan borehole drilling and equipping	The sub-project was complete and functional but was yet to be officially commissioned.	<p>The facilities include: 270 m borehole with a yield of 10 m<sup>3</sup>/hour; elevated steel tank of 36 m<sup>3</sup> capacity; water kiosk with a 1,000 litre plastic tank on top; two (2) water troughs connected to the elevated tank; a generator; solar panels; and a perimeter fence.</p> <p>All installations were of good quality, except for minor things like float valve to control overflow and metre gauge to record extraction levels, which will be fixed by the contractor before hand-over</p>
2	Welhar Primary School: Boundary fencing; construction and equipping of two (2) new classrooms, administration block, two (2) staff quarters and a septic tank.	All the civil works were complete. The staff quarters were occupied although they did not have water & electricity. The administration block and the two (2) classrooms were in use.	The design and quality of construction was good, although some minor cracks were noted on the walls of the administration building.
3	Afweine Primary School: Construction of an administration block and rehabilitation of five (5) classrooms	All the civil works were complete. The administration block and the five (5) classrooms were in use.	Rehabilitation of the classrooms involved repairing and tiling the floors and verandas; plastering and painting the walls; and construction of three new roofs. In general, the design was good, but construction quality was fair as there were some cracks on the walls of the administration block. In addition, the quality the external paint and workmanship was poor.
4	Modogashe Girls' Secondary School: Construction and equipping of a multi-purpose hall, library and five (5) classrooms; and, construction of two (2) toilets.	All the civil works were complete, and the multi-purpose hall and the classrooms were in use. The library was not in use as it did not have books.	The buildings were of good design and construction quality was satisfactory although some minor cracks were noted on the library walls, the external paint was peeling off, and there was evidence on the library ceiling that the roof leaked during the last rains.
5	Bonane Health Centre: Construction and equipping the maternity ward; construction of three (3) staff quarters, including septic tank and two (2) latrines; and, boundary fencing	All the civil works were complete, and the buildings were in use.	The staff quarters, the latrines, and maternity ward were of good design and satisfactory construction quality although some minor cracks were noted on the walls and the internal paint was poorly done. About 20% of the concrete fencing posts were broken, and the rest were of poor quality.

**Annex 5: 10<sup>th</sup> World Bank Implementation Support Mission Program  
June 7-23, 2023**

Time	Event	Responsible	Activities	Venue
<b>Wednesday, 7<sup>th</sup> June, 2023</b>				
1000-1230	Overall status of the project implementation across project counties and components including <ul style="list-style-type: none"> <li>Status of the actions agreed during the last MTR/WBISM Aide Memoire.</li> </ul>	PIU, WB, DANIDA	Presentation by component as follows: - <ul style="list-style-type: none"> <li>Welcoming remarks by Team Leaders (PS, NPIU, WB and DANIDA)</li> <li>Summary project progress</li> <li>Component 1</li> <li>Component 2</li> <li>Component 3</li> </ul>	Virtual
1400-1600	Procurement	PIU, WB, DANIDA	Presentation and Q&A on progress, challenges, next steps	Virtual
<b>Thursday, 8<sup>th</sup> June, 2023</b>				
9000-1000	Financial Management	PIU, WB, DANIDA	➤ Presentation including the Dos and Don'ts as we approach project closure and Q&A on progress, challenges, next steps	Virtual
1000-1100	Monitoring & Evaluation	PIU, WB, DANIDA	➤ Presentation and Q&A on progress, challenges, next steps	Virtual
1100-1200	Social and Environmental Safeguards	PIU, WB, DANIDA	➤ Presentation and Q&A on progress, challenges, next steps	Virtual
1400-1500	Guidelines on project closure	PIU, WB, DANIDA	➤ WB TTL to present	Virtual
1500-1600	Brief on Garissa mission field visit	PIU, WB, DANIDA	➤ Project Manager	Virtual

**June 12-16, 2023  
Garissa County Field Agenda**

<b>Day1 Monday 12<sup>th</sup> June, 2023</b>			
3:30PM – 4:30AM	Arrival		
	Courtesy call to the County Governor		
<b>DAY 2 Tuesday 13<sup>th</sup> June, 2023</b>			
7:00AM-10:30AM	Travel to Haji Adan Borehole Drilling and Equipping and meet the community.		
10AM:30-1:00PM	-Travel to Dadaab -Visit Dadaab land Afforestation. -Visit Red Sun Self Help Group -Danwag Women Group		
1:00PM – 3:30PM	Travel and visit Welhare Primary Schol(2 Classrooms, Administration Block, Staff and quarters).		

3:30PM-4:30PM	Travel back to Garissa		
<b>Day 3 Wednesday 14<sup>th</sup> June, 2023</b>			
7:30AM-10:00AM	Travel and Meet Afweine Primary School community (Administration block and renovation of Classrooms)		
10:00AM – 12:30PM	Travel to Banane. -visit the Health centre (Maternity,Staff quarters and Fencing).		
12:30PM-3:30PM	Travel to Modogashe. Visit Mogashe Girls Dinning hall. Visit Serbi Women group. Modogashe Street Light (Energy)		
3:30PM- 5:00PM	Travel back to Garissa		
<b>Day 4 Thursday 15<sup>th</sup> June, 2023</b>			
9.30 – 10.30 AM	Meeting with County Commissioner		
9.00- 12.30 AM	Meeting with Complainants		
12.30 -2.00 PM	Lunch		
2.00 -4.00 PM	Safeguards Meeting		
<b>Day 5 Friday 16<sup>th</sup> June, 2023</b>			
10.00 -11.00 am	Debrief with Project Manager		
Travel to Nairobi			

### Annex 6: List of Participants

NO	NAME	DESIGNATION
<b>NPIU</b>		
1.	Wilfred Omari	Project Manager, KDRDIP
2.	Ms. Lucy Gathega Wangari	Project Finance
3.	Geoffrey Leparateg	Livelihood Expert
4.	Josephat Waweru	Head Component One
5.	Dr Joy Murunga	Deputy Director, Livelihoods
6.	Janet Tulula	Assistant Environment Safeguards Specialist
7.	Moses Nyandika	Communication Specialist
8.	David Mamati	Procurement Specialist
9.	Andrew Loreng	M&E Assistant
10.	Anthony Karoki	ICT Expert
11.	Judy Gachora	Social Safeguards Specialist
12.	John Kaluma	Agriculture Expert
13.	Sarah Mautah	Project Accountant
<b>Garissa County</b>		
14.	Mohamed Farah	County Project Coordinator
15.	Mohamed Gurhan	County Social Safeguards Specialist
16.	Abdirashid Dolal Abdi	County Environmental Specialist
<b>Turkana County</b>		
17.	Amoni Thomas Ngimoie	County Project Coordinator
18.	Kevin Ekutan	County Environmental Safeguards Specialist
<b>Wajir County</b>		
19.	Jimale Hassan Sheikh	County Project Coordinator